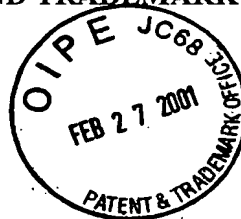


Practitioner's Docket No. 80113-0103

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231



NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of
Inventor(s): **STEVE BURSEY**

RECEIVED
MAR 01 2001
OFFICE OF PETITIONS

For (title): **MULTI-TASKING MESSAGE EXTRACTOR**

1. Type of Application

This new application is for a(n)

- ☒ Original (nonprovisional)
- ☐ Design
- ☐ Plant
- ☐ Divisional.
- ☐ Continuation.
- ☐ Continuation-in-part (C-I-P).

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is mandatory.)

(Express Mail certification is optional.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date 10/6/00, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL686849566 US, addressed to the: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Christy Taylor

(type or print name of person mailing paper)

Signature of person mailing paper

RECEIVED

MAR 01 2001

OFFICE OF PETITIONS

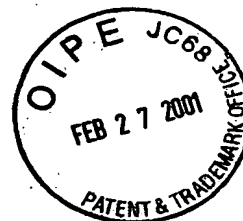
2. **Benefit of Prior U.S. Application(s)** (35 U.S.C. 119(e), 120, or 121)

☒ The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. **Papers Enclosed**

A. **Required for Filing Date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application**

____ Pages of Specification
06 Pages of Claims
14 Sheets of Drawing
☐ Formal
☒ Informal



☐ The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 C.F.R. § 1.84(b).

B. **Other Papers Enclosed**

____ Pages of declaration and power of attorney
01 Pages of Abstract
____ Other

4. **Additional Papers Enclosed**

- ☐ Amendment to claims
☐ Cancel in this applications claims _____ before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
☐ Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)
- ☐ Preliminary Amendment
☐ Information Disclosure Statement (37 C.F.R. § 1.98)
☐ Form PTO-1449 (PTO/SB/08A and 08B)
☐ Citations
☐ Declaration of Biological Deposit
☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
☐ Special Comments
☐ Other

(Application Transmittal - Page 2 of 6)

5. Declaration or Oath (including power of attorney)

- ☐ Enclosed
Executed by
☐ inventor(s).
☐ legal representative of inventor(s). 37 C.F.R. § 1.42 or 1.43.
☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
☐ This is the petition required by 37 C.F.R. § 1.47 and the statement required by 37 C.F.R. § 1.47 is also attached. See item 13 below for fee.
- ☒ Not Enclosed.
☐ Application is made by a person authorized under 37 C.F.R. 1.41(c) on behalf of *all* the above named inventor(s).
☐ Showing that the filing is authorized.
(not required unless called into question. 37 C.F.R. § 1.41(d))

6. Inventorship Statement

The inventorship for all the claims in this application are:

- ☐ The same.
- or
- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
☐ is submitted.
☐ will be submitted.

7. Language

- ☒ English
☐ Non-English
☐ The attached translation includes a statement that the translation is accurate. 37 C.F.R. § 1.52(d).

8. Assignment

- ☐ An assignment of the invention to
☐ is attached. A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or [] FORM PTO 1595 is also attached.
☒ will follow.

(Application Transmittal - Page 3 of 6)

9. **Certified Copy**

Certified copy(ies) of application(s)

country	appln. no.	filed
country	appln. no.	filed
country	appln. no.	filed

from which priority is claimed

- ☐ is (are) attached.
☐ will follow.

10. **Fee Calculation (37 C.F.R. § 1.16)**

A. ☒ Regular application

CLAIMS AS FILED			
Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$ 710.00
Total Claims (37 CFR 1.16(c))	35 - 20 = 15	X \$ 18.00	270.00
Independent Claims (37 CFR 1.16(b))	3 - 3 = 0	X \$ 80.00	0
Multiple dependent claims, if any, (37 CFR 1.16(d))		X \$ 270.00	

- ☐ Amendment cancelling extra claims is enclosed.
☐ Amendment deleting multiple-dependencies is enclosed.
☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$ 980.00

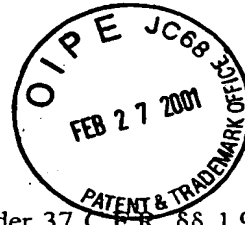
B. ☐ Design application
(\$320.00—37 C.F.R. § 1.16(f))

Filing Fee Calculation \$ _____

C. ☐ Plant application
(\$490.00—37 C.F.R. § 1.16(g))

Filing Fee Calculation \$ _____

(Application Transmittal - Page 4 of 6)



11. Small Entity Statement(s)

- ☐ Statement(s) that this is a filing by a small entity under 37 C.F.R. §§ 1.9 and 1.27 is (are) attached.
- ☐ Status as a small entity was claimed in prior application _____, filed on _____ from which benefit is being claimed for this application under:
- 35 U.S.C. § ☐ 119(e),
☐ 120,
☐ 121,
☐ 365(c),
- and which status as a small entity is still proper and desired.
- ☐ A copy of the statement in the prior application is included.
- Filing Fee Calculation (50% of A, B or C above) \$ _____

12. Request for International-Type Search (37 C.F.R. § 1.104(d))

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment Being Made at This Time

- ☐ Not Enclosed
- ☐ No filing fee is to be paid at this time.
(This and the surcharge required by 37 C.F.R. § 1.16(e) can be paid subsequently.)
- ☒ Enclosed
- | | |
|---|-----------|
| <input checked="" type="checkbox"/> Filing fee | \$ 980.00 |
| <input type="checkbox"/> Recording assignment (\$40.00; 37 C.F.R. § 1.21(h))
(See attached "COVER SHEET FOR
ASSIGNMENT ACCOMPANYING NEW
APPLICATION.") | \$ _____ |
| <input type="checkbox"/> Petition fee for filing by other than all the inventors
or person on behalf of the inventor where inventor
refused to sign or cannot be reached
(\$130.00; 37 C.F.R. §§ 1.47 and 1.17(i)) | \$ _____ |
| <input type="checkbox"/> For processing an application with a
specification in a non-English language
(\$130.00; 37 C.F.R. §§ 1.52(d) and 1.17(k)) | \$ _____ |
| <input type="checkbox"/> Processing and retention fee
(\$130.00; 37 C.F.R. §§ 1.53(d) and 1.21(l)) | \$ _____ |
| <input type="checkbox"/> Fee for international-type search report
(\$40.00; 37 C.F.R. § 1.21(e)) | \$ _____ |
| Total Fees Enclosed | \$ 980.00 |

(Application Transmittal - Page 5 of 6)

14. Method of Payment of Fees

- ☐ Check in the amount of \$ _____.
- ☒ Charge Account No. 18-0013 in the amount of \$ 980.00.
- A duplicate of this transmittal is attached.

15. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 18-0013.
- ☒ 37 C.F.R. § 1.16(a), (f) or (g) (filing fees)
- ☒ 37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims)
- ☒ 37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☒ 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a).
- ☒ 37 C.F.R. § 1.17 (application processing fees)
- ☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b))

16. Instructions as to Overpayment


- ☒ Credit Account No. 18-0013.
- ☐ Refund.

Date: 06 October 2000

Reg. No. 36,372

Tel. No.: (248) 594-0645

Customer No. 010291


SIGNATURE OF PRACTITIONER
Anna M. Shih
RADER, FISHMAN & GRAUER PLLC
39533 Woodward Avenue,
Suite 140
Bloomfield Hills, Michigan 48304

☒ Incorporation by reference of added pages

- ☒ Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed
- Number of pages added _____
- ☐ Plus Added Pages for Papers Referred to in Item 4 Above
- Number of pages added _____
- ☐ Plus added pages deleting names of inventor(s) named on prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application.
- Number of pages added _____
- ☐ Plus "Assignment Cover Letter Accompanying New Application"
- Number of pages added _____

☐ Statement Where No Further Pages Added

- ☐ This transmittal ends with this page.

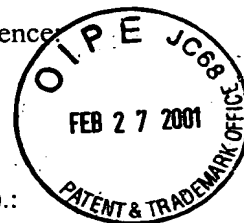
(Application Transmittal - Page 6 of 6)

ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF
PRIOR U.S. APPLICATION(S) CLAIMED

17. Relate Back

☒ Amend the specification by inserting, before the first line, the following sentence:

A. 35 U.S.C. 119(e)

☒ "This application claims the benefit of U.S. Provisional Application(s) No(s).:APPLICATION NO(S).:
60/158,032FILING DATE
10/7/99

B. 35 U.S.C. 120, 121 and 365(c)

☐ "This application is a

- ☐
- continuation
-
- ☐
- continuation-in-part
-
- ☐
- divisional

of copending application(s)

- ☐
- application number _____ filed on _____"
-
- ☐
- International Application _____ filed on _____ and which designated the U.S."
-
- ☐
- "The nonprovisional application designated above, namely application _____, filed _____, claims the benefit of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:

FILING DATE

RECEIVED
MAR 01 2001
OFFICE OF PETITIONS☐ Where more than one reference is made above please combine all references into one sentence.

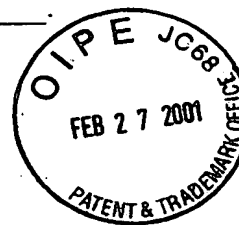
18. Relate Back—35 U.S.C. 119 Priority Claim for Prior Application

The prior U.S. application(s), including any prior International Application designating the U.S., identified above in item 17B, in turn itself claim(s) foreign priority(ies) as follows:

Country	Appln. no.	Filed
---------	------------	-------

The certified copy(ies) has (have)

- ☐ been filed on _____, in prior application _____, which was filed on _____.
- ☐ is (are) attached.



19. Maintenance of Copendency of Prior Application

- A. ☐ Extension of time in prior application

(This item must be completed and the papers filed in the prior application, if the period set in the prior application has run.)

- ☐ A petition, fee and response extends the term in the pending **prior** application until _____
- ☐ A **copy** of the petition filed in prior application is attached.

- B. ☐ Conditional Petition for Extension of Time in Prior Application

(complete this item, if previous item not applicable)

- ☐ A conditional petition for extension of time is being filed in the pending **prior** application.
- ☐ A **copy** of the conditional petition filed in the prior application is attached.

20. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

(complete applicable item (a), (b) and/or (c) below)

- (a) ☐ This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are
- ☐ the same.
- ☐ less than those named in the prior application. It is requested that the following inventor(s) identified for the prior application be deleted:

(type name(s) of inventor(s) to be deleted)

- (b) ☐ This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application, the inventor(s) in this application are

- ☐ the same.
- ☐ the following additional inventor(s) have been added:

(type name(s) of inventor(s) to be deleted)

- (c) ☐ The inventorship for all the claims in this application are

- ☐ the same.
- ☐ not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made
- ☐ is submitted.
- ☐ will be submitted.

21. Abandonment of Prior Application (if applicable)

- ☐ Please abandon the prior application at a time while the prior application is pending, or when the petition for extension of time or to revive in that application is granted, and when this application is granted a filing date, so as to make this application copending with said prior application.

22. Petition for Suspension of Prosecution for the Time Necessary to File an Amendment

- ☐ There is provided herewith a Petition To Suspend Prosecution for the Time Necessary to File An Amendment (New Application Filed Concurrently)

23. Small Entity (37 CFR § 1.28(a))

- ☐ Applicant has established small entity status by the filing of a statement in parent application _____ on _____.
- ☐ A copy of the statement previously filed is included.

24. NOTIFICATION IN PARENT APPLICATION OF THIS FILING

- ☐ A notification of the filing of this
(check one of the following)

- ☐ continuation
☐ continuation-in-part
☐ divisional

is being filed in the parent application, from which this application claims priority under 35 U.S.C. § 120.

r0069998

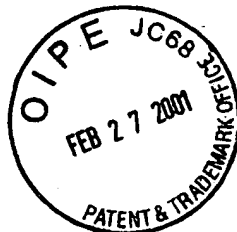


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/684,287	10/06/2000	Steve Bursey	80113-0103

RADER, FISHMAN & GRAUER PLLC
Suite 140
39533 Woodward Avenue
Bloomfield Hills, MI 48304



FORMALITIES LETTER



Date Mailed: 11/27/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **5A-5D** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

02/28/2001 CCHAU1 00000003 180013 09684287

02 FC:105 130.00 CH

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

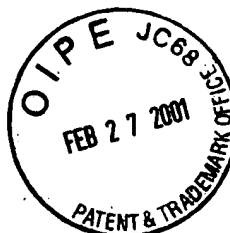


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

#3



RECEIVED

MAR 01 2001

OFFICE OF PETITIONS

Serial No.: _____ Filed: _____ Attorney: AMS/CNT
Applicant: Stellan Persson Docket No.: 2001-0103 Date Mailed: _____
Title: Multitasking Message Extractor ☐ First Class / EL686849566US
The following was/were received in the U.S. Patent & Trademark Office Mail Room on the date stamp:

- | | | |
|-----|-------------------------------------|---|
| 1. | <input type="checkbox"/> | Filing Form for Application*
(in duplicate if charging deposit account).
___ Originating in the U.S.
___ National Phase under Chapter I
___ National Phase under Chapter II |
| 2. | <input checked="" type="checkbox"/> | Filing Form for Application*
(in duplicate if charging deposit account).
___ Provisional Application under 37 CFR 1.53(c)
<input checked="" type="checkbox"/> Regular Application under 37 CFR 1.53(b)
___ CPA Application under 37 CFR 1.53(d)
___ Continuation Application under 37 CFR 1.53(b)
___ Divisional Application under 37 CFR 1.53(b)
___ Other _____ |
| 3. | <input checked="" type="checkbox"/> | *Patent Application including:
<u>18</u> Pages Specification
<u>6</u> Pages Claims (Claims 1 through <u>35</u>)
<u>1</u> Pages Abstract
<u>14</u> Sheets of Drawings (Fig. 1 through <u>12</u>)
Declaration/Power of Attorney (___ pages)
Verified Stmt-Small Entity Status
Executed Power of Attorney
___ Priority Document(s) & cover sheet
___ Assignment(s) & cover sheet
Request to Approve Drawing Change,
with ___ Sheets of Red-Line Drawings
___ Sheets Formal Drwgs w/Cover Sheet
Request for Refund |
| 4. | <input type="checkbox"/> | |
| 5. | <input type="checkbox"/> | |
| 6. | <input type="checkbox"/> | |
| 7. | <input type="checkbox"/> | |
| 8. | <input type="checkbox"/> | |
| 9. | <input type="checkbox"/> | |
| 10. | <input type="checkbox"/> | |
| 11. | <input type="checkbox"/> | |

jc675 U.S. PTO
09/684287
10/06/00

- | | | |
|-----|-------------------------------------|--|
| 12. | <input type="checkbox"/> | Request for Corrected Filing Receipt |
| 13. | <input type="checkbox"/> | Completion of Filing Requirements or |
| 14. | <input type="checkbox"/> | Response to Notice of Informal Application |
| 15. | <input type="checkbox"/> | Transmittal for Amendment (Response) |
| 16. | <input type="checkbox"/> | Petition for ___ Mo. Extension of Time
(in duplicate if charging deposit account) |
| 17. | <input type="checkbox"/> | Amendment (Response) |
| 18. | <input type="checkbox"/> | Preliminary Amendment (Response) |
| 19. | <input type="checkbox"/> | Response to Restriction Requirement or |
| 20. | <input type="checkbox"/> | Requirement for Election of Species |
| 21. | <input type="checkbox"/> | Information Disclosure Statement, with |
| 22. | <input type="checkbox"/> | Form(s) PTO-1449 (___ sheets) and |
| 23. | <input type="checkbox"/> | copies of ___ references |
| 24. | <input type="checkbox"/> | ___ Affidavit or ___ Declaration under |
| 25. | <input type="checkbox"/> | 37 CFR ___ § 1.131 ___ § 1.132 |
| 26. | <input type="checkbox"/> | Notice of Appeal |
| 27. | <input type="checkbox"/> | Petition _____ |
| 28. | <input type="checkbox"/> | Letter _____ |
| 29. | <input checked="" type="checkbox"/> | Status Inquiry |
| | | Issue Fee Transmittal |
| | | Maintenance Fee Transmittal |
| | | ___ Separate post card(s) |
| | | Check No. _____ for \$ _____ |
| | | Covering Item No(s). _____ |
| | | Other: <u>return postcard</u> |